

Office of Sponsored Programs Bulletin June/July 2011

The SJSU Research Foundation (SJSURF), Office of Sponsored Programs (OSP) Bulletin is a monthly publication informing the SJSU academic community about sponsored program information, trends and opportunities. To view the OSP Bulletin online, visit the SJSU Research Foundation website at <http://www.sjsufoundation.org/>.

1. **A Reminder about Proposal Routing During the Summer Months**
2. **Boilerplate Text for Proposals Now Online**
3. **SBIR/STTR Programs Fund U.S. Small High Tech R&D Businesses**
4. **NSF Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP)**
5. **Some Changes for USDE i3 Fund Competition**
6. **NEA Expands Media Funding**
7. **Grants Resource Center**
8. **Fellowships**

1. **A Reminder about Proposal Routing During the Summer Months**

It is always important to let your Sponsored Programs Manager know that you are working on a proposal. It is especially important in the summer months as some of the proposal signers may change or reduce their hours on campus. Please keep in mind that routing a proposal for review and signature may take longer during the summer than during the regular school year. Plan to submit your proposal to the SJSU Research Foundation for processing well ahead of the sponsor submittal deadline.

2. **Proposal Boilerplate Now Online**

SJSU Research Foundation recently uploaded a "[boilerplate](#)" of text to its website that faculty can either use to drop into their proposals as needed or for data to enhance their narratives. The boilerplate can be found at www.sjsufoundation.org under "News and Events," and also in the Faculty Resources section under "Proposal Development, Grants and Contract Applications." The text includes information on SJSU and SJSURF and will be updated on a continuing basis to insure its accuracy.

3. **SBIR/STTR Programs Fund U.S. Small High Tech R&D Businesses**

SJSU researchers interested in researching and developing innovative, marketable technologies with small, American-owned businesses should explore Small Business Innovation Research (SBIR) and Small Business Technology Transfer Act (STTR) funding opportunities. Congress requires that federal agencies with extramural R&D budgets over a certain threshold allocate 2.5% annually to support SBIR/STTR programs, which promote the competitiveness of small (500 employees and under) U.S. technology businesses. The programs are similar and the difference between the programs is minor. In both case a small business is the lead rather than SJSU/SJSURF. SBIR funds small businesses as the lead with a university as a potential collaborator. STTR programs require a collaboration between small business and a university or other research institute. Each agency identifies R&D topics it will support and its funding cycle. Five federal agencies participate in both SBIR/STTR programs: [Department of Health and Human Services](#) (DHHS), [Defense](#) (DOD), [Energy](#) (DOE), [National Aeronautics and Space Administration](#) (NASA), and [National Science Foundation](#) (NSF). Six agencies

participate in only the SBIR program: [Agriculture](#) (USDA), Commerce ([NIST](#), [NOAA](#)), [Education](#) (DoED), [Homeland Security](#) (DHS), [Transportation](#) (DOT), and [Environmental Protection Agency](#) (EPA).

4. NSF Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP)

Updated Program guidelines for NSF's STEP have been released. STEP seeks to increase the number of students (U.S. citizens or permanent residents) receiving associate or baccalaureate degrees in established or emerging fields within science, technology, engineering, and mathematics (STEM). Type 1 proposals are solicited that provide for full implementation efforts at academic institutions. Type 2 proposals are solicited that support educational research projects on associate or baccalaureate degree attainment in STEM.

Type 1 proposals are limited submittals. Only **one** proposal per institution is permitted. Internal SJSU Limited Submittal guidelines may be found [here](#). NSF STEP guidelines may be found [here](#). There is no limit on the number of Type 2 proposals an institution can submit.

5. Some Changes for USDE i3 Fund Competition

As applicants prepare proposals for the FY 11 competition of the U.S. Department of Education Investing in Innovation (i3) Fund competition, they should note several changes from last year's competition:

(1) Award amounts: Development awards are up to \$3 million, Validation awards are up to \$15 million, and Scale-up awards are up to \$25 million for this round of competition.

(2) Match requirements: Previously 20 percent across-the-board; now Development match is 15 percent, Validation match is 10 percent, and Scale-up match is five percent.

(3) Inclusion of five absolute priorities and five competitive preference priorities, compared to four of each last year:

(4) Fewer selection criteria: Last year's competition used seven; this year's will use four (Need for Project, Quality of Project Design, Quality of Project Evaluation, and Quality of Management Plan and Personnel). The maximum number of points is still set at 100 and the allocation of points still differs among the grant categories.

(5) Limits on grant awards: No grantee can receive more than one new Scale-up or Validation award in the same two-year period. A grantee may, however, receive a new Development grant and partner on other applications.

As applicants prepare for the **August 2, 2011** proposal deadline, one important aspect that has not changed is that proposals must meet the requirements for the type of grant sought, since a proposal will not be considered for a different grant type. If a proposal would meet the requirements for a Validation grant, but it is instead submitted as a Scale-up application and fails to meet the Scale-up evidence requirement, it will not be considered for either grant type. Applicants may submit proposals to more than one grant category, but should be aware that there is a two grant, \$55 million limit on the awards they might receive.

Potential applicants are strongly encouraged to register for one of the remaining in-person or web-based pre-application workshops. A wealth of information is currently available on the i3 Fund website under the Applicant Info (<http://www2.ed.gov/programs/innovation/applicant.html>) and Resources (<http://www2.ed.gov/programs/innovation/resources.html>) pages, including the PowerPoint presentation featured at the workshops.

6. NEA Expands Media Funding

The National Endowment for the Arts (NEA) has revamped and renamed its Arts on Radio and Television funding category. The new Arts in Media (<http://www.nea.gov/grants/apply/AIM-presentation.html>) program, which will provide awards ranging \$10,000 to \$200,000 each, is a dramatically expanded version of the original. Applications are due by **September 1, 2011**.

All types of projects that were eligible under the Arts on Radio and Television will continue to be supported, but now art on a host of other platforms will also be eligible for funding. The new guidelines reflect the growing influence of web-hosted media, opening the applicant field beyond radio and television to include media delivered via the internet, interactive and mobile technologies, digital games, and satellite. Media created for theatrical release and dramatic narratives about the arts are also eligible for support. Whereas Arts on Radio and Television funded projects about the arts, Arts in Media will also consider works of art themselves.

The other significant changes to the program are its emphasis on innovation, a trend that stretches far beyond NEA, and its focus on access to the arts. NEA is encouraging the use of social media and aggressive public engagement strategies to bring the arts to new populations.

Potential applicants should view one of three webinars (<http://arts.gov/grants/apply/AIM-webinars.html>) on the new guidelines: June 15 and July 13 [see archive (<http://www.nea.gov/grants/apply/AIM-Webinar-June.html>)], or August 10. During the June 15 webinar, NEA media arts director Alyce Myatt, said she would "love" to see applications from educational institutions.

7/6/11 GrantWeek, with permission from Grants Resource Center

7. Grants Resource Center

Grants Resource Center (GRC) is a not-for-profit service of the American Association of State Colleges and Universities. The SJSU Research Foundation has a partnership with GRC. Through this partnership, GRC has been providing the following services: (1) lists of funding opportunities which are sent through the SJSURF Funding Alert System; (2) specialized topical funding searches for SJSU faculty; (3) an expanding library of successfully funded proposals that SJSU faculty may view; and (4) funding news and updates via their weekly GrantWeek. GRC is also on Twitter. Should you desire further information about GRC's services, please contact Nancy Riley at nancy.riley@sjsu.edu or Kristin Nwakobi at kristin.nwakobi@sjsu.edu.

8. Fellowships

SJSURF receives notification of fellowship/scholarship opportunities for faculty and students. This month's highlights include:

Program: Research Associateship Programs

Agency: National Research Council

Next Deadline: Aug 01, 2011

<http://www7.nationalacademies.org/rap>

Program: Dissertation Fellowships

Agency: Harry Frank Guggenheim Foundation

Next Deadline: Aug 01, 2011

<http://hfg.org/>

Program: Fellowship Programs at Independent Research Institutions

Agency: National Endowment for the Humanities

Next Deadline: Aug 17, 2011

<http://www.neh.gov/grants/grants.html>

Program: Cancer Prevention Fellowship Program

Agency: National Institutes of Health

Next Deadline: Aug 25, 2011

<http://www3.cancer.gov/prevention/pob/>

Program: Anthropology Internship Program

Agency: American Museum of Natural History

Next Deadline: Aug 27, 2011

<http://anthro.amnh.org/anthropology/collections/InternshipGuide.shtml>

Program: JSPS Fellowship Programs

Agency: Japan Society for the Promotion of Science

Next Deadline: Sep 02, 2011

<http://www.jspsusa.org/fellowship.html>

Program: Jennings Randolph Program for International Peace

Agency: U.S. Institute of Peace

Next Deadline: Sep 08, 2011

<http://www.usip.org/gf.html>

Program: Kauffman Dissertation Fellowships

Agency: Kauffman (Ewing Marion) Foundation

Next Deadline: Sep 14, 2011

<http://www.kauffman.org/research-and-policy/kauffman-dissertation-fellowship-program.aspx>

Program: Fellowships to Assist Research and Artistic Creation

Agency: John Simon Guggenheim Memorial Foundation

Next Deadline: Sep 15, 2011

<http://www.gf.org>

Program: Jane N. Ryland Fellowship Program

Agency: EDUCAUSE

Next Deadline: Sep 26, 2011

<http://www.educause.edu/fellow/>

Program: ACLS Collaborative Research Fellowships

Agency: American Council of Learned Societies

Next Deadline: Sep 28, 2011

<http://www.acls.org/grants/Default.aspx?id=3154>

Program: ACLS Fellowships

Agency: American Council of Learned Societies
Next Deadline: Sep 28, 2011
<http://www.acls.org/grants/Default.aspx?id=380>

Program: Charles A. Ryskamp Research Fellowships
Agency: American Council of Learned Societies
Next Deadline: Sep 28, 2011
<http://www.acls.org/programs/ryskamp/>

Program: Digital Innovation Fellowships
Agency: American Council of Learned Societies
Next Deadline: Sep 28, 2011
<http://www.acls.org/programs/digital/>

Program: Frederick Burkhardt Residential Fellowships for Recently Tenured Scholars
Agency: American Council of Learned Societies
Next Deadline: Sep 28, 2011
<http://www.acls.org/programs/burkhardt/>

Program: Dorothy and Lewis Cullman Center for Scholars and Writers Fellowships
Agency: New York Public Library
Next Deadline: Sep 30, 2011
<http://www.nypl.org/locations/tid/36/node/29202>

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