

# Office of Sponsored Programs

November 2011 Bulletin



SAN JOSÉ STATE  
UNIVERSITY

RESEARCH FOUNDATION

A monthly publication on **grant funding** and **sponsored program opportunities**.

[www.sjsufoundation.org](http://www.sjsufoundation.org)

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## Submitting Proposals in December

SJSU Research Foundation's Central Offices, located at 210 N. Fourth Street, will be **closed** from December 25, 2011 - January 2, 2012. These offices will reopen on January 3, 2012.

If you are planning to submit a proposal in December, please inform your Sponsored Programs Manager immediately if you have not already done so.

## NIH to Hold Conflict of Interest Web Conference—Nov 30

### Viewing at 11:00 AM PST SJSURF 4th Floor Conference Room

NIH will hold a 90-minute webinar, "**What NIH Grantees Need to Know About the 2011 Revised Financial Conflict of Interest Regulation**," on **Nov. 30** at 2:00 p.m. EST. The event is free, and no registration is required. According to NIH's notice, "This webinar is designed for [an] NIH grantee institution's investigators and administrators" and will feature an overview, discussion of investigator and institutional responsibilities, and a 15-minute period for questions and answers. NIH issued a new conflict of interest regulation in August that includes training requirements, sets new limits on disclosures and mandates additional reporting, among other changes (RRC 11/11, p. 1).

Link: [http://grants.nih.gov/grants/policy/coi/fcoi\\_webinar\\_20111130.htm](http://grants.nih.gov/grants/policy/coi/fcoi_webinar_20111130.htm)

**RSVP as soon as possible to [nancy.riley@sjsu.edu](mailto:nancy.riley@sjsu.edu)**

*||||||| Report on Research Compliance*

## Export Control

Grants Resource Center held a webinar this summer titled, "Export Compliance at Universities."

According to GRC, an "export" is "Any oral, written, electronic, or visual disclosure, shipment, transfer or transmission of any controlled commodity, technology (information, technical data, assistance) or software-code outside the U.S. to anyone, including U.S. citizens."

An export of technology or source code (except encryption source code) is also "deemed" to take place when a foreign national gains access to controlled technology or source code while **in** the United States. The export could be visual, verbal, in an email, physical, overheard in a conversation, etc.

"Export controls are **item** specific, **end-user** specific, **country** specific, and **end-use** specific."

### ► Questions to ask when determining whether control is needed on an export:

- Is the technology clearly military in nature? (ITAR – State)  
*Has the technology been modified to work with a military item?*
- Regardless of whether the initial technology is publically available  
*Has a commercially available item or information been modified by adding military technology?*
- Is the technology dual-use ? (EAR – Commerce)  
*Laptops, encryption software, circuits, PDAs, biologicals, toxins, etc.*



(Continued on next page)

## Export Control (cont.)

### ► Work in the following areas is more likely to be export controlled:

- Engineering
- Space sciences
- Computer science
- Biomedical research with lasers and imaging equipment
- Development of encryption software
- Research with controlled chemicals, biological agents, and toxins

### ► Best Practices in Staying Compliant:

- Determine what is controlled, using ITAR, EAR regulations and other resources.

### Things to consider:

- Are you planning to go out of the country with a potentially controlled export or send communications out of the country that may include a controlled export?
- Do you have foreign nationals working in areas that may include a potentially controlled export?

### Consider:

- Visitors
- Restricting access to controlled technology
- Labs, research, visitors, badging, new hires, etc. Follow a Standard Operating Procedure
- Conversations
- Requests for information
- Accessing controlled data from outside the office
- “Need to know” basis
- Non-disclosure Agreements
- Business meetings with non-U.S. citizens or permanent residents

## Export Control (cont.)

### General Travel Tips to Secure Your Data and Laptop:

Before traveling think about the following questions

Do the files on your laptop contain:

- Export Controlled information
- Student information such as grades, your comments on a student's work, or any other non-directory information on a student
- Proprietary information, including unpublished research such as drafts of articles, in-progress projects, data sets, or third-party proprietary information research or university data that can not be recovered if your computer is lost or stolen?

### International Travel Tips to Secure Your Data and Laptop

International travelers must take extra precautions. Information, technology, software, and equipment you take with you may be subject to U.S. export control laws. You must ensure that all the information and software on your laptop can be safely and legally transported to another country.

You may take a laptop on international travel if it does not contain any work or data involving projects with:

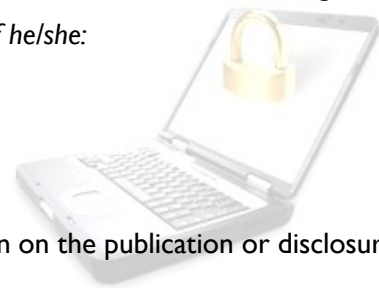
**Foreign National Restrictions** - A grant/contract/project that contains a restriction on the use of foreign nationals.

*Note: For export control regulation purposes, an individual is NOT a foreign national if he/she:*

- Is a U.S. citizen;
- Is granted permanent residence ("green card"); or
- Is granted status as a protected person (political asylum)

**Publication Restrictions** - A grant/contract/project that contains a restriction on the publication or disclosure of results to the public or unapproved parties

**Technology Control Plans (TCP)** - A TCP may be put in place on a grant/contract/project to control the dissemination of controlled information, data or defense service as defined in ITAR to foreign persons.



### International Travel

- if you plan to travel with a laptop you may be personally liable for violations of export control laws.
- Remove all work from your laptop that contains export controlled information or involves a project with foreign national restrictions, publication restrictions, or Technology Control Plans (TCP),
- When traveling abroad, you are required to keep mobile devices (laptop, PDA, cell phone, etc) in your control at all times. Read more at <http://www.access.gpo.gov/bis/ear/pdf/740.pdf>, section 740.9. Check the US Department of State's [website](#) for up-to-date international travel advisories and warnings.

## NIH Launches Climate Change Program

A [new research program](#) funded by the National Institute of Environmental Health Sciences (NIEHS) at the National Institutes of Health (NIH) is supporting research on the impact of climate change on human health. The first round of projects selected addresses the risk factors that make people more vulnerable to heat exposure; changes in environmental exposures, such as air pollution and toxic chemicals; and the negative effects of climate change adaptation and mitigation efforts. NIH has also begun to roll out a number of program announcements seeking new proposals that address climate change, beginning with Climate Change and Health: Assessing and Modeling Population Vulnerability to Climate Change. Applications are due by **May 24, 2012**.

Additional information is available on the [NIEHS Human Health Impacts of Climate Change website](#).

*10/31/11 GrantWeek with permission from Grants Resource Center.*



## The Importance of a Pre-Solicitation

On November 9, 2011, the U.S. Department of Defense (DoD) issued [SBIR 12.1 Prerelease](#), the pre-solicitation notice for the DoD FY 12 Small Business Innovation Research (SBIR) program. The competition will open December 12, 2011, and proposals are due **January 11, 2012**. So why was November 9, 2011 so important?

As with many contracting opportunities and some grant programs, the pre-solicitation release marks the first day of the window during which proposers may talk directly to the topic authors — the people who wrote the request for proposals — to ask targeted technical questions and glean information on how to strengthen their proposals before the submission deadline. This is a limited-time opportunity that ends the day before the full solicitation is released; in this case, on December 11, 2011. After that date, proposers can still ask for “technical clarification” through the [SBIR/STTR Interactive Topic Information System](#), but the responses will be anonymous and general.

The SBIR program is highly competitive across DoD. Within the Army program, for example, only 10 percent of the estimated 3,000 proposals will receive funding. So it is in the proposer’s best interest to take advantage of every one-on-one opportunity.

*11/14/11 GrantWeek with permission from Grants Resource Center.*

## Fellowships

SJSURF receives notification of fellowship/scholarship opportunities for faculty and students. This month’s highlights include:

**NASA Space Technology Research Fellowships** - Fall 2012 Fellowship Start  
Agency: NASA. Deadline: **Jan 11, 2012**  
<http://nspires.nasaprs.com/external/viewrepositorydocument/cmdocumentid=295839/NSTRF12.pdf>

### Society and Mellon Fellowships

Agency: Cornell University Society for the Humanities  
Deadline: **Jan 06, 2012**  
<http://www.arts.cornell.edu/sochum/fellowships.html>

**White House Fellowships** Agency: President’s Commission on White House Fellowships. Deadline: **Jan 13, 2012**  
<http://www.whitehouse.gov/fellows/index.html>

**Fellowship Programs** Agency: Archaeological Institute of America

Deadline: **Jan 15, 2012**  
<http://www.archaeological.org/grants>

**Multi-Country Research Fellowship Program** Agency: Council of American Overseas Research Centers  
Deadline: **Jan 17, 2012** <http://www.caorc.org/programs/multi.htm>

**Visiting Fellowships** Agency: Houghton Library  
Deadline: **Jan 13, 2012**  
[http://hcl.harvard.edu/libraries/houghton/public\\_programs/visiting\\_fellowships.cfm](http://hcl.harvard.edu/libraries/houghton/public_programs/visiting_fellowships.cfm)

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The SJSU Research Foundation has several tools to inform the SJSU academic community about external funding:

### Funding Alert System

The SJSU Research Foundation Office of Sponsored Programs uses a Funding Alert System to disseminate grant funding opportunities to SJSU faculty and staff. Faculty sign up for “keywords.” These keywords are entered into a database. Matching funding opportunities are emailed directly to participating faculty. Keyword sign-ups and changes may be done anytime through this link: <http://www.sjsufoundation.org/html/sjsuf-faculty-resources/FundingAlertSignUp.htm>. If you would like a copy of your current keywords, please email [nancy.riley@sjsu.edu](mailto:nancy.riley@sjsu.edu)

### Funding Databases

The SJSU Research Foundation Office of Sponsored Programs subscribes to funding databases exclusively for SJSU faculty: Sponsored Projects Information Network (SPIN) and Community of Science (COS). Community of Science refers to scientific research. These databases are searchable by elements such as keyword, sponsor, title, and deadline. Both databases are found at: <http://www.sjsufoundation.org/html/sjsuf-faculty-resources/locating.htm>. Both databases may be accessed by SJSU faculty on campus, or off campus if a profile is set up first.

### Funding Searches

The SJSU Research Foundation Office of Sponsored Programs offers individual funding searches for faculty who have a specific topic/interest area and are ready to look for funding. Specific funding search requests can be emailed to [nancy.riley@sjsu.edu](mailto:nancy.riley@sjsu.edu). Include a brief summary of your academic topic.

### Grant Application Deadlines

The SJSU Research Foundation Office of Sponsored Programs posts a periodic “Grant Application Deadlines” report in Quick Links on our home page ([www.sjsufoundation.org](http://www.sjsufoundation.org)). This report shows all the funding opportunities that have been sent through our Funding Alert System within a deadline range.

### OSP Bulletin

The Office of Sponsored Programs (OSP) Bulletin is a monthly publication informing the SJSU academic community about sponsored program information, trends and opportunities. The OSP Bulletin is emailed to subscribers each month. It is also posted in “Quick Links” on our home page ([www.sjsufoundation.org](http://www.sjsufoundation.org)).

Back issues are posted at: [http://www.sjsufoundation.org/html/sjsuf-faculty-resources/locating\\_steps.htm](http://www.sjsufoundation.org/html/sjsuf-faculty-resources/locating_steps.htm).

Faculty may subscribe anytime at: [http://lists.sjsu.edu/mailman/listinfo/osp\\_bulletin](http://lists.sjsu.edu/mailman/listinfo/osp_bulletin).

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